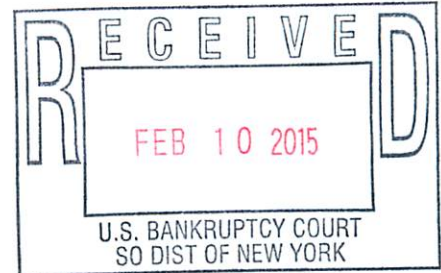


Zurich, February 4, 2015/VBFRG  
Telephone 0041 58 283 50 56  
Telefax 0041 58 283 51 60  
roger.fivat@vontobel.ch

United States Bankruptcy Court  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408  
United States



- ☐ as discussed
- ☐ for your information
- ☐ for your records
- ☐ please return
- ☐ please call
- ☐ forward to

- ☒ please process
- ☐ please comment
- ☐ please sign
- ☐ returned with thanks
- ☐ please clarify
- ☐

Please note:

Enclosed please find one form "Evidence of Transfer of Claim" with 2 Units Positions.

Please note, that the mentioned Lehman Products are deposited new in our bank.

Our claim number is: 67373.

If you have any questions, don't hesitate to contact me.

Yours sincerely,

Bank Vontobel AG

Roger Fivat

Page 2/2, February 4, 2015

1. The first part of the document discusses the background of the case and the parties involved. It mentions that the case involves a dispute over the ownership of certain intellectual property rights.

2. The second part of the document discusses the legal issues that arise from the facts of the case. It mentions that the court must decide whether the plaintiff has established a prima facie case of infringement.

3. The third part of the document discusses the court's analysis of the legal issues. It mentions that the court has found in favor of the plaintiff and has granted summary judgment.

4. The fourth part of the document discusses the court's conclusions and the relief granted. It mentions that the court has awarded damages to the plaintiff and has ordered the defendant to cease its infringing activities.

5. The fifth part of the document discusses the court's findings on the issues of willful infringement and attorney's fees. It mentions that the court has found that the defendant acted willfully and has awarded attorney's fees to the plaintiff.

6. The sixth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

7. The seventh part of the document discusses the court's findings on the issues of punitive damages and injunctive relief. It mentions that the court has awarded punitive damages to the plaintiff and has granted injunctive relief.

8. The eighth part of the document discusses the court's findings on the issues of appeal and rehearing. It mentions that the court has denied the defendant's motion for a rehearing and has affirmed its judgment.

9. The ninth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

10. The tenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

11. The eleventh part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

12. The twelfth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

13. The thirteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

14. The fourteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

15. The fifteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

16. The sixteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

17. The seventeenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

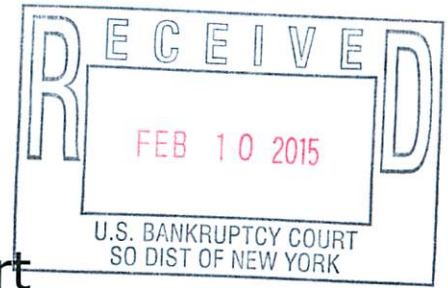
18. The eighteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

19. The nineteenth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

20. The twentieth part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

21. The twenty-first part of the document discusses the court's findings on the issues of costs and interest. It mentions that the court has awarded costs to the plaintiff and has ordered the defendant to pay interest on the damages awarded.

Form 210A (10/06)



# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Bank Vontobel AG, Zurich / Switzerland

Name of Transferee

Name and Address where notices  
to transferee should be sent:

Bank Vontobel AG  
Gotthardstrasse 43  
Corporate Actions  
Postfach  
CH-8022 Zurich / Switzerland

Phone: 0041 58 283 50 56

Last Four Digits of Acct #: \_\_\_\_\_

UBS AG, Zurich / Switzerland

Name of Transferor

Court Claim # (if known): 59233

Date Claim Filed: not known

Amount of Claim: to be determined

Portion of Claim Transferred (see  
Schedule I): Units -30- / Units -60-

Phone: \_\_\_\_\_

Last Four Digits of Acct. #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Jeanette Fuss / Thomas Schwerzmann  
Transferee/Transferee's Agent

Date: 4. February 2015

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

Bank Vontobel AG  
Gotthardstrasse 43  
CH-8022 Zürich

**EVIDENCE OF PARTIAL TRANSFER OF CLAIM**

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

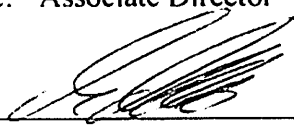
For value received, the adequacy and sufficiency of which are hereby acknowledged, UBS AG ("Transferor") unconditionally and irrevocably transferred to Bank Vontobel AG, Zurich ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 59233**) in the nominal amounts specified in schedule I related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED THIS 3th day of February 2015.

**UBS AG (Transferor)**

By:   
Name: Stephan Gfeller  
Title: Associate Director

By:   
Name: Matthias Mohos  
Title: Associate Director

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:**

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Principal Amount/Nominal Amount/ Amount of Claim related to Security</b>
XS0260445530	59233	October 30, 2009	Lehman Brothers Treasury BV	Units 30.00 out of Units 170.00

<b>ISIN</b>	<b>Court Claim #</b>	<b>Date Claim Filed</b>	<b>Issuer</b>	<b>Principal Amount/Nominal Amount/ Amount of Claim related to Security</b>
XS0306179168	59233	October 30, 2009	Lehman Brothers Treasury BV	Units 60.00 out of Units 17'639.00